Express Mail Label No.: EV 292 562 098 US

DOCKET NO: L0562.70042US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Anthony J. GOUNALIS

Serial No: Confirmation No: Unassigned Unassigned

Filed:

Herewith

For:

SYSTEM AND METHOD FOR RECEIVER RESOURCE

ALLOCATION AND VERIFICATION

Examiner: Art Unit:

Unassigned Unassigned

### **MAIL STOP Patent Application**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application other than a continued prosecution application under 37 C.F.R. §1.53(d). No fee or certification is required.

## PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

#### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

Express Mail Label No.: EV 292 562 098 US Attorney's -2-

Docket No.: L0562.70042US00

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Anthony J. GOUNALIS, Applicant

James H. Morris, Reg. No. 34,681

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Docket No. L0562.70042US00 Date: September 30, 2003

X11/18/03X

FORM PTO-1449/A and B (Modified)	APPLICATION NO.: Unassigned		
1 Older 1 10-1449/A and B (Mounted)			ATTY. DOCKET NO.: L0562.70042US00
INFORMATION DISCLOSURE	FILING DATE: Herewith CONFIRMATION NO.:		CONFIRMATION NO.: Unassigned
STATEMENT BY APPLICANT	APPLICANT:	Anthony J. GOUNALIS	
Sheet 1 of 1	GROUP ART UNIT:	Unassigned	EXAMINER: Unassigned

U.S. PATENT DOCUMENTS

Examiner's Cite		U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
Initials No.			Kind Code	Document	of Cited Document MM-DD-YYYY	
·	*	5,884,294		Kadar et al.	03/16/99	
	*	5,293,114		McCormick et al.	03/08/94	
	*	4,922,256		Brandstetter	05/01/90	
	*	5,563,806		Barry et al.	10/08/96	
	*	5,363,103		Inkol	11/8/94	
	*	5,727,204		Greene, VII	03/10/98	
	*	6,313,781	B1	Lee	11/06/01	
	*	6,313,794	B1	Rose	11/06/01	
	*	6,388,604	B1	Lee	05/14/02	
-	*	6,163,297		Rose	12/19/00	
	*	6,177,902	B1	Huntley et al.	01/23/01	
	*	4,695,790		Mathis	09/22/87	
	*	4,726,050		Menich et al.	02/16/88	
	÷	4,860,318		Shaw et al.	08/22/89	
	*	5,061,930		Nathanson et al.	10/29/91	

#### FOREIGN PATENT DOCUMENTS

Examiner's	Cite	Fore	Oreign Patent Document		Name of Patentee or Applicant of Cited	Date of	
Initials	No.	Office/ Country	Number	Kind Code	Document (not necessary)	Publication of Cited Document MM-DD-YYYY	Translation (Y/N)

### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Transla (Y/I	

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\* Pursuant to new U.S. PTO practice copies of any U.S. Patent are not enclosed.